

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

3

of

**Complete if Known**

Application Number	10/017,504
Filing Date	December 14, 2001
First Named Inventor	Lamping, John O.
Art Unit	2171
Examiner Name	Cindy Nguyen
Attorney Docket Number	020087-003500US

**U.S. PATENT DOCUMENTS+**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
								<input type="checkbox"/>
								<input type="checkbox"/>

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
CA	A	FRALEY ET AL., "How Many Clusters? Which Clustering Method? Answers Via Model-Based Cluster Analysis", The Computer Journal, Vol. 41, No. 8, (1998) pp. 578-588.	
CA	B	FRALEY, "Algorithms For Model-Based Gaussian Hierarchical Clustering", SIAM J. Sci. Comput., Vol. 20, No. 1, (1998) pp. 270-281.	
CA	C	IWAYAMA ET AL., "Hierarchical Bayesian Clustering for Automatic Text Classification", Proceedings of the Fourteenth Intl. Joint Conference on Artificial Intelligence, Vol. 2, (August, 1995) pp. 1322-1327.	
CA	D	WILLETT, "Document Clustering Using an Inverted File Approach", Journal of Information Science 2 (1980) pp. 223- 231.	
CA	E	JANE AND DUBES, "Algorithms for Clustering Data", Prentice Hall, (1988) QA 278, J341, Chapter 3, pp. 55-142.	

60172945 v1

**RECEIVED**  
APR 01 2004  
Technology Center 2100

Examiner Signature	<i>Cindy Nguyen</i>	Date Considered	05/22/04
-----------------------	---------------------	--------------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

60172945 v1